

**Notice of Allowability**

Application No.

09/736,345

Applicant(s)

NAULT, JACQUES

Examiner

James A. Kramer

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**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--**

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to Amendment to the claims filed 7/14/2006.
2. ☒ The allowed claim(s) is/are 12-55.
3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some\* c) ☐ None of the:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
- (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
- 1) ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_\_.
- (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

**Attachment(s)**

- |  |  |
|--|--|
| 1. <input type="checkbox"/> Notice of References Cited (PTO-892)   | 5. <input type="checkbox"/> Notice of Informal Patent Application                      |
| 2. <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                               | 6. <input type="checkbox"/> Interview Summary (PTO-413),<br>Paper No./Mail Date _____. |
| 3. <input checked="" type="checkbox"/> Information Disclosure Statements (PTO/SB/08),<br>Paper No./Mail Date _____ | 7. <input checked="" type="checkbox"/> Examiner's Amendment/Comment                    |
| 4. <input type="checkbox"/> Examiner's Comment Regarding Requirement for Deposit<br>of Biological Material         | 8. <input checked="" type="checkbox"/> Examiner's Statement of Reasons for Allowance   |
|  | 9. <input type="checkbox"/> Other _____.   |

### EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Scott Asmus on 9/18/2006.

The application has been amended as follows:

Relying on the copy of the claims submitted 7/14/2006:

**Amend claim 40 as follows:**

- In lines 16-17 the language:

“sequence;

computing a financial statement” was changed to:

--sequence;

manually grouping the accounts from said user display into one or more financial statement items;

computing a financial statement--

- In lines 23-24 the language:

“sub-lists of pointers; and

providing a financial statement” was changed to

--sub-list of pointers;

manually grouping the financial statement items from said user display into one or more totals, wherein each total is based on preceding financial statement items balances; and

providing a financial statement --

**Amend claim 48 as follows:**

- In lines 16-17 the language:  
  
“sequence;  
  
dynamically allocating” was changed to:  
  
-- sequence;  
  
manually grouping the accounts from said user display into one or more financial statement items;  
  
dynamically allocating --
- In lines 21-22 the language  
  
“sub-lists of pointers; and  
  
storing financial statement items” was changed to;  
  
-- sub-lists of pointers;  
  
manually grouping the financial statement items from said user display into one or more totals, wherein each total is based on preceding financial statement items balances; and  
  
storing financial statement items”
- In line 26 the language:  
  
“total type.” was changed to:  
  
-- total type; and  
  
providing a financial statement that includes each financial statement items and its respective balance. --

**Amend claim 54 as follows:**

- In line 16-17 the language:

“sequence;

computing a financial statement item” was changed to

-- sequence;

manually grouping the accounts from said user display into one or more financial statement items;

computing a financial statement item -- was inserted

- In lines 23-24 the language

“sub-lists of pointers; and

providing a financial statement” was changed to:

-- sub-list of pointers;

manually grouping the financial statement items from said user display into one or more totals, wherein each total is based on preceding financial statement items balances; and

providing a financial statement --

**Amend claim 55 as follows:**

- In lines 18-19 the language:  
  
“sequence;  
  
dynamically allocating” was changed to  
  
-- sequence;  
  
manually grouping the accounts from said user display into one or more financial statement items;  
  
dynamically allocating --
- In lines 23-24 the language:  
  
“sub-lists of pointers; and  
  
storing financial statement items” was changed to:  
  
-- sub-lists of pointer;  
  
manually grouping the financial statement items from said user display into one or more totals, wherein each total is based on preceding financial statement items balances;  
  
storing financial statement items --
- In line 28 the language:  
  
“a total type.” Was changed to:  
  
-- a total type; and  
  
providing a financial statement that includes each financial statement items and its respective balance.--

The following is an examiner's statement of reasons for allowance:

The present claimed invention reads over Lerner (US Patent Number 4,642,767) as it requires a manual grouping of accounts into financial statement items. Lerner requires a collection of coded data reflecting totals of accounts (see Lerner column 3, lines 2-7). This is different from the present claimed invention which receives accounting data and then requires a user to manually group this data into financial statement items and then processes the accounting data based on these groupings into totals of accounts.

The present claimed invention reads over Sampson (US Patent Number 5,390,113) as Sampson "eliminates the ledger" (see column 3, lines 30-32). Sampson further requires a predetermined chart of accounts in order to group journal data. The present invention does not have a chart of accounts because the user is required to manually group the data from the journal into its appropriate financial statement item. This manually step is non-obvious to a system which requires a chart of accounts for a number of reasons. Primarily, the present invention requires a user knowledgeable in accounting, as the user must know which accounts roll into which financial statement items. In contrast, a system with a predetermined chart of accounts can be operated by a person with little to no knowledge in accounting.

The present claimed invention reads over the closest non-patent literature, Peachtree, for the same reasons as stated with respect to Sampson. Namely, Peachtree requires a predetermined chart of account. Peachtree further requires the journal data to be submitted in a predetermined

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sequence. The claimed invention specifically addresses this point by reciting, "wherein said grouping is regardless of an account sequence."

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to James A. Kramer whose telephone number is (571) 272 6783. The examiner can normally be reached on Monday - Friday (8AM - 5PM).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Alexander Kalinowski can be reached on (571) 272 6771. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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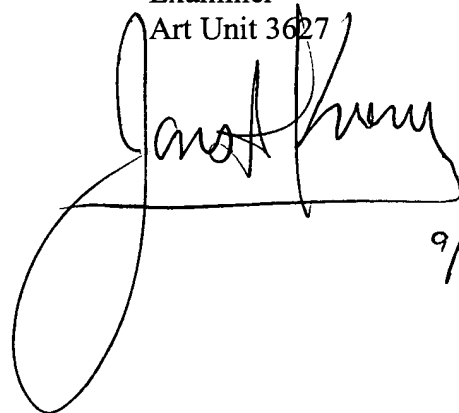
Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

James A. Kramer

Examiner

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jak



9/18/2006